

P23949.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P23949	Total Pages	
Inventor(s) or Application Identifier	Young Nam AHN, and Jong Soo KIM		
Title: CAP HOLDING DEVICE FOR EMBROIDERY MACHINE			

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

21908 U S PTO
10/620417
07/17/03

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 15]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Preliminary Amendment
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____. Prior application information: Examiner: _____ Group Art Unit: _____	16. <input checked="" type="checkbox"/> Figure of Drawing to be published: 3
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.	17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)
	18. <input checked="" type="checkbox"/> Assignee: <u>SUNSTAR PRECISION CO., LTD., of Inchon City, Republic of Korea</u>
	19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Under 37 C.F.R. 1.53(b) and 1.53(f)</u> _____ _____ _____ _____

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

7/17/03
Date



07055

PATENT TRADEMARK OFFICE

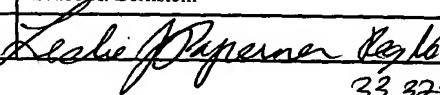

Signature
33,329

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name



FEE TRANSMITTAL

					Complete if Known			
					Application Number		Not Yet Assigned	
					Filing Date		Concurrently Herewith	
					First Named Inventor		Young Nam AHN et al.	
					Group Art Unit		Unassigned	
					Examiner Name		Unassigned	
TOTAL AMOUNT OF PAYMENT		(\$750.00)		Attorney Docket Number		P23949		
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u> 2. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input checked="" type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.					3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$)			
					Fee Description Fee Paid 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet. 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for reexamination 1804 920* 1804 920* Requesting publication of SIR 1805 1,840* 1805 1,840* Prior to Examiner action 1251 110 2251 55 Requesting publication of SIR after 1252 410 2252 205 Examiner action 1253 930 2253 465 Extension for response within 1st month 1254 1,450 2254 725 Extension for response within 2nd month 1255 1,970 2255 985 Extension for response within 3rd month 1401 320 2401 160 Extension for response within 4th month 1402 320 2402 160 Extension for response within 5th month 1403 280 2403 140 Notice of Appeal 1451 1,510 1451 1,510 Filing a brief in support of an appeal 1452 110 2452 55 Request for oral hearing 1453 1,300 2453 650 Petition to institute a public use proceeding 1501 1,300 2501 650 Petition to revive unavoidably abandoned application 1502 470 2502 235 Petition to revive unintentionally abandoned application 1503 630 2503 315 Utility issue fee (or reissue) 1460 130 1460 130 Design issue fee 1807 50 1807 50 Plant issue fee 1806 180 1806 180 Petitions to the Commissioner 8021 40 8021 40 Petitions related to provisional applications 1809 750 2809 375 Submission of IDS 1810 750 2810 375 Recording each patent assignment per property (times number of properties) 1801 750 2801 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1802 900 1802 900 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) Request for Continued Examination (RCE) Other fee (specify) Request for expedited examination of a design application *Reduced by Basic Filing Fee paid SUBTOTAL (3) (\$ 0.00)			
1. FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid 1001 750 2001 375 Utility filing fee <u>750.00</u> 1002 330 2002 165 Design filing fee <u>330.00</u> 1003 520 2003 260 Plant filing fee <u>520.00</u> 1004 750 2004 375 Reissue filing fee <u>750.00</u> 1005 160 2005 80 Provisional filing fee <u>160.00</u>								
2. CLAIMS Total Claims <u>18</u> -20= <u>0</u> Extra Fee from below Independent <u>1</u> -3= <u>0</u> <u>0</u> x <u>18</u> = <u>0.00</u> Claims <u>0</u> x <u>84</u> = <u>0.00</u> Multiple Dependent Claims <u>0</u> x <u>280</u> = <u>0.00</u>								
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim 1204 84 2204 42 Reissue independent claims over original patent 1205 18 2205 9 Reissue claims in excess of 20 and over original patent								
SUBTOTAL (1) (\$ 750.00) SUBTOTAL (2) (\$ 0.00)								

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	29,027
Signature		 <u>33,329</u>		Date	7/17/03
				Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Young Nam AHN et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : CAP HOLDING DEVICE FOR EMBROIDERY MACHINE

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b) and 1.53(f)**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191

The above-identified application includes:

- 35 pages of specification with abstract.
- 15 sheets of drawings with 15 figures.
- 18 total claims and 1 independent.
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Young Nam AHN et al.


Bruce H. Bernstein Reg. No.
Reg. No. 29,027 33,329

July 17, 2003
GREENBLUM & BERNSTEIN, P.L.C.
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